

## **PROGRAMMATIC REVIEW REPORT FOR ON-SITE AND OFF-SITE EVALUATIVE REVIEWS**

Grant Recipient: Hopi Tribe  
Grant Number: FS-00T84401  
Grant Period: October 1, 2012 to December 31, 2016  
Grant Budget: \$200,000

### **1. Introduction, Background and Methodology**

This Programmatic Review was conducted at the desk of the Bessie Lee, the Project Office for the subject grant. The review was conducted on November 10, 2015.

The Grant Recipient is the Hopi Tribe. The grant amount of \$200,000 was awarded to the Hopi Tribe to improve the elevated storage tank and purchase water meters for the Village of Shungopavi on the Hopi Indian Reservation. The tank improvements have been completed and the water meters have been purchased. However, since early 2014, the Hopi Tribe and the Village of Shungopavi have been trying to coordinate the recoating of the elevated storage tank with the tank manufacturer, who was going to recoat the tank at no cost even though the warranty for the tank had expired. To date, the recoating has not been done. The recoating of the tank is needed. Leftover money in the grant after the tank recoating and meter installation activities have been completed will be used for Indian Health Services engineering services to connect the Village of Shungopavi to the Hopi (Regional) Arsenic Mitigation Project (HAMP).

### **2. Results of Review with Recommendations (success and findings)**

In conducting advanced monitoring under EPA Order 5700.6 (Policy on Compliance, Review and Monitoring), program offices must assess the recipient's progress in achieving the outputs and outcomes set forth in the assistance agreement work plan. In accordance with guidance issued by the Director of Grants Administration Division, program offices must record the results of this assessment in the Grantee Compliance Database.

Equipment was not purchased under the grant. Money for the grant was used to purchase water meters, but water meters are not being considered by the program to be "equipment".

The actual progress of the activities under the workplan have been slow at times. The original project/budget period was for one year. The grant has been extended to a four-year project/budget period. The reason for the extensions include work delays due to the tank manufacturer's schedule, weather (tanks should not be coated during cold weather – the reason for the recoating was that the tank was originally coated during the winter), and coordination of some of the activities with Hopi religious ceremonies. The Hopi Tribe has been in contact with the tank manufacturer on a regular basis for over 2 years. The present plan is to recoat the tank

using grant funding. The recoating qualifies as a tank "improvement" activity, which was the original purpose of the grant funding.

The Hopi Tribe expects to have some grant money remaining after the tank recoating and water meter installation activities have been completed. The remaining funds, the amount which is yet to be determined, will be used for engineering services by the Indian Health Service to connect the Village of Shungopavi to the HAMP.

The programmatic terms and conditions are being met. The programmatic statutory and regulatory requirements are being met.

There are no findings, suggestions, or recommendations to the Hopi Tribe or to the USEPA Grants Office as a result of this desk review of the subject grant. No action is required against the Hopi Tribe. It should be mentioned that for the last 10 years, USEPA, the Hopi Tribe, and the Hopi villages have had (and will continue to have) monthly calls about drinking water infrastructure projects on the Hopi Indian Reservation. Notes from the monthly calls are distributed to the Hopi Tribe, Indian Health Service, and the affected Hopi villages. Since October 2012, the activities in the grant work plan for the Village of Shungopavi have been discussed, issues are identified, and issues are addressed in a timely manner, if possible.

### **3. Resolution Plan and Timing**

There is no Corrective Action Plan as a result of this Programmatic Review. If the Hopi Tribe has any questions, please contact Bessie Lee, the USEPA Project Officer for the grant. Bessie's telephone number is (415) 972-3776. Her e-mail address is [lee.bessie@epa.gov](mailto:lee.bessie@epa.gov).